



State Treasurer of Iowa

**Michael L. Fitzgerald**

## **DELIVERY OF SECURITIES AND MUTUAL FUNDS**

**Prior to the delivery of any and all shares (except DWAC-see below), fax a statement listing the Mellon account number, number of shares, CUSIP, security description, delivering party's DTC ID number (if applicable) and date of delivery to: 877-235-1418.**

### **TRANSFER OF BOOK-ENTRY ELIGIBLE SHARES:**

DTC (Depository Trust Company) #: 954  
For: Treasurer of the State of Iowa, Unclaimed Property  
Mellon Account Number: IO7F5000002  
Agent Bank #: 26017  
Institution #: 93752

### **FOR PHYSICAL DELIVERY OF CERTIFICATES**

#### **USE FORM FOLLOWING AND SEND TO:**

The Depository Trust Company  
570 Washington Blvd - 5th floor  
Jersey City, NJ 07310  
Attn: BNY Mellon/Branch Deposit Department

### **TRANSFER OF FEDERAL RESERVE BOOK ELIGIBLE SHARES:**

Federal Reserve Bank of New York  
ABA#:0210-0001-8  
BK of NYC/TRUST/IO7F5000002

### **DIVIDEND REINVESTMENT PLAN SHARES (DRP)**

should be liquidated and a certificate issued for whole shares and cash for any fractional shares.

### **FRACTIONAL SHARES:**

For all security reporting, whole shares are to be transferred and *all owner fractions are to be cashed out*. Please mail checks (with reference to account # IO7F5000002, CUSIP, and number of shares represented) to:

MAC & CO  
Treasurer State of Iowa  
Room 151-0510  
One BNYMellon Center  
500 Grant Street  
Pittsburgh, PA 15258

### **TO SET UP DWAC OF SHARES:**

Fax the following information to our office (515-281-6962)  
Date of DWAC  
Number of shares  
Description/name of shares with CUSIP

## **MUTUAL FUNDS**

The state treasurer's office has worked with its custodian BNY Mellon to establish custody accounts for all mutual fund positions reported and delivered to the state of Iowa as unclaimed property. All mutual fund positions reported should be registered as follows:

MAC&CO Account#IO7F5001002  
Mutual Funds Operations  
PO Box 3198  
Pittsburgh, PA 15230-3198  
Tax ID: 23-6019000

**MUTUAL FUND ACCOUNT NUMBERS:** The account number previously established through creation of the custody account should be utilized. If you cannot identify this account number or no custody account has previously been established, please contact [upreport@iowa.gov](mailto:upreport@iowa.gov) for assistance. Mutual funds should not unilaterally create new accounts.



## **BNY Mellon Transmittal Letter**

**BNYM Participant Number:** 954

**TO:** BNYM BRANCH DEPOSITS

**BNYM Account Number:** IO7F5000002

**From:** \_\_\_\_\_

**BNYM Account Name:**  
**TREASURER OF THE STATE OF IOWA / UNCLAIMED PROPERTY**

**Telephone:** \_\_\_\_\_

**Please deposit the following securities into the state's BNYM Account**

TOTAL UNIT AMOUNT	CUSIP (IF AVAILABLE)	# of Certs	DESCRIPTION	REGISTRATION AS ON CERTIFICATE	BNYM TRANSACTION NUMBER (IF AVAILABLE)	DOCUMENTS UNDER SEPARATE COVER

\_\_\_\_\_  
DATE

\_\_\_\_\_  
AUTHORIZED SIGNATURE

Send to:

**The Depository Trust Company**  
**570 Washington Blvd - 5<sup>th</sup> floor**  
**Jersey City, NJ 07310**  
**Attn: BNY Mellon/Branch Deposit Department**